



2152
JW-J

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	18	Application No.	09/961,367
		Filing Date	September 25, 2001
		First Named Inventor	Rajesh R. Shah
		Art Unit	2152
		Examiner Name	NA
		Attorney Docket Number	42390P12025

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div style="border: 1px solid black; padding: 5px;">Copies of 10 Cited Refs. Return Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> PTO/SB/08		
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	March 4, 2005

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Kristin A. Morrow
Signature	
Date	March 4, 2005

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 06/04/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

0.00

Complete if Known

Application Number	09/961,367
Filing Date	September 25, 2001
First Named Inventor	Rajesh R. Shah
Examiner Name	NA
Art Unit	2152
Attorney Docket No.	42390P12025

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) IDS - No Fee					0.00
SUBTOTAL (2)					(\$)
					0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature			Date		03/04/05



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAJESH R. SHAH

Application No.: 09/961,367

Filed: September 25, 2001

For: **A Mechanism For Preventing Unnecessary
Timeouts And Retries For Service
Requests In A Cluster**

Art Group: 2152

Examiner: NA

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 04, 2005


Gregory D. Caldwell, Reg. No. 39,926

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Kristin A. Morrow

03-04-05

Date



Substitute for form 1449A/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/961,367
		Filing Date	September 25, 2001
		First Named Inventor	Rajesh R. Shah
		Art Unit	2152
		Examiner Name	NA
		Attorney Docket Number	42390P12025
Sheet	1	of	2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Date
Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional)²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

Complete if Known

Application Number 09/961,367
Filing Date September 25, 2001
First Named Inventor Rajesh R. Shah
Art Unit 2152
Examiner Name NA
Attorney Docket Number 42390P12025

NON PATENT LITERATURE DOCUMENTS

Examiner Initials* Cite No.¹ Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. ^{T²}

SHAH et al., "A Mechanism For Reporting Topology Changes To Clients In A Cluster", U.S. Application No. 09/942,608., Intel Corporation

PINTO et al., "A Mechanism For Managing Incoming Data Messages In A Cluster", U.S. Application No. 09/994,779., Intel Corporation

SHAH et al., "A Cluster With Multiple Paths Between Hosts And I/O Controllers", U.S. Application No. 09/450,381., Intel Corporation

RAJ., "Method And Device For Discovering Round Trip Delays Between Nodes In An Infiniband Cluster", U.S. Application No. 09/669,668., Intel Corporation

SHAH., "System And Method For Providing Detailed Path Information To Clients", U.S. Application No. 09/694,492., Intel Corporation

TEITENBERG et al., "Method And Apparatus For Distributing Events Among Multiple Channel Drivers", U.S. Application No. 09/654,069., Intel Corporation

SHAH et al., "Path Removal For Highly Connected Clusters", U.S. Application No. 09/658,491., Intel Corporation

SHAH., "Method And Apparatus For Determining Multiple Instances Of The Same Type Of Service Agent", U.S. Application No. 09/653,585., Intel Corporation

SHAH., "Dynamic Removal Of A Driver Stack When A Parent Driver Uses A Child Driver", U.S. Application No. 09/631,873., Intel Corporation

SHAH., "Delaying Loading Of Host-Side Drivers For Cluster Resources To Avoid Communication Failures", U.S. Application No. 09/608,632., Intel Corporation

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